

January 31, 2002

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INTELLECTUAL PROPERTY DEPARTMENT  
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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **38604 0003**

First Named Inventor: **Denes C. Agoston**

Title: **Methods and Compositions for Producing Neural Progenitor Cells**

TO: **BOX PATENT APPLICATION**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☒ Specification - Total Pages: 60 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	33	20	13	\$ 18.00	\$ 9.00	\$ 117.00
Independent Claims	6	3	3	\$ 84.00	\$ 42.00	\$ 126.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
BASIC FEE				\$ 740.00	\$ 370.00	\$ 370.00
TOTAL FILING FEE						\$613.00

3. ☒ Drawings - Total Sheets: 8 (Fig(s). 1-6)

4. Oath or Declaration - Total Pages: 2

- a. ☐ Newly executed (original or copy) ☒ New (unexecuted)
- b. ☐ Copy from a prior application  
(for continuation/divisional with Box 17 completed)

5. ☐ Incorporation By Reference (useable if Box 4b is marked)

11059 U.S. PTO  
01/31/02

10059273  
10/059273  
01/31/02

**BOX PATENT APPLICATION**

January 31, 2002

Page 2

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies
8. ☐ Assignment and Assignment Recordation Form
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☒ Small Entity Status Claimed: ☐ Independent Inventor  
Small Business Concern  
☒ Non-Profit Organization
- ☐ Statement Filed in Prior Application; Status Still Proper and Desired
15. ☐ Foreign Priority is Claimed as Follows:  
\_\_\_\_\_  
\_\_\_\_\_
16. ☐ Other: \_\_\_\_\_
17. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of  
Prior Application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☒ Complete Application Based on Provisional Application No.: 60/265,113  
filed January 31, 2001
18. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

**BOX PATENT APPLICATION**

January 31, 2002

Page 3

☐ 19. Please address all correspondence to:

☒ **CUSTOMER NUMBER** 30082

☐ **James Remenick**  
Intellectual Property Department  
Heller Ehrman White & McAuliffe LLP  
Suite 300  
Gaithersburg, MD 20878-1917

20. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 08-1641**.

☐ The Commissioner is hereby authorized to charge the requisite fees of \$613.00 to the undersigned's **Deposit Account No. 08-1641**. In the event any variance exists in the amount authorized and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 08-1641**.

☒ Applicant awaits receipt of the Notice to File Missing Parts to submit the filing fee and the executed Declaration and Power of Attorney.

Respectfully submitted,

By: \_\_\_\_\_

James Remenick  
Registration No. 36,902

Enclosure